

Docket No. 295111US0PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Lysander CHRISSTOFFELS, et al.

SERIAL NO: 10/591,654

GAU:

FILED: September 5, 2006

EXAMINER:

FOR: METHOD FOR PRODUCING A WATER-IN-WATER POLYVINYL LACTAM DISPERSION WITH A K  
VALUE OF = 120

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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MAIER & NEUSTADT, P.C.

Norman F. Oblon

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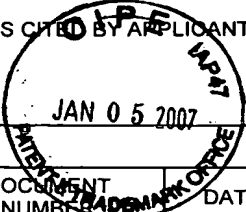
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 295111US0PCT		SERIAL NO. 10/591,654	
LIST OF REFERENCES CITED BY APPLICANT 				APPLICANT Lysander CHRISSTOFFELS, et al.			
				FILING DATE September 5, 2006		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5 373 074	12/13/1994	CHI-SAN WU, et al.			
	AB	5 306 795	04/26/1994	JENN S. SHIH, et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	AO	43 42 281	06/14/1995	DE		NO	
	AP	91 15522	10/17/1991	WO		NO	
	AQ	94 22953	10/13/1994	WO		NO	
	AR	98 14405	04/09/1998	WO (equivalent of US 6 608 124, US 6 664 326, US 5 919 854, US 5 843 320, US 6 702 946, US 5 696 228, US 5 792 366, US 6 310 124, US 2002 058745, US 2002 165307, US 6 235 205, US 2001 014712)		NO	
	AS	91 03496	03/21/1991	WO		NO	
	AT	94 18241	08/18/1994	WO		NO	
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No: 10/591,654

Filed: September 5, 2006

Lysander CHRISSTOFFELS, et al.

Docket No. 295111 US

#### STATEMENT OF RELEVANCY

- 1) References AA-AB & AO-AR have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) References AS-AT are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References            are additional prior art known to Applicant. A copy of these references is being submitted herewith.

	Docket No.: 295111US0PCT	Serial No.: 10/591,654
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Inventor: Lysander CHRISSTOFFELS, et al.	
	Filing Date: September 5, 2006	Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	295111US0PCT*	10/591,654	09/05/06		CHRISSTOFFELS, et al.
	PER CLIENT	08/663,045	UNKNOWN		BLANKENBURG, et al.

Examiner

Date Considered

\*Present Application; listed for information  
NFO/ach